

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/823,131
Filing Date	March 30, 2001
First Named Inventor:	Carl M. Ellison
Group Art Unit	2181
Examiner Name	Unassigned
Attorney Docket Number	042390P8110

Sheet 2 of 2

OTHER ART - NO PATENT LITERATURE DOCUMENTS

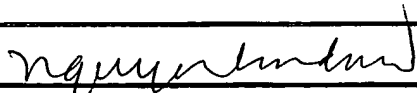
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
mon		GOLDBERG, R., "Survey of virtual machine research," IEEE Computer Magazine 7(6), pp. 34-45, 1974.	
		GUM, P.H., "System/370 Extended Architecture: Facilities for Virtual Machines," IBM J. Research Development, Vol 27, Number 6, pp. 530-544, November 1983	
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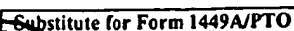
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of

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Application Number

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First Named Inventor

Ellison, et al.

Group Art Unit

2181

Examiner Name

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Attorney Docket Number

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Exam. Initials	Document Number	Date	Name of Patentee or Applicant of Cited Document	Class	Sub- class	Translation	
						Yes	No
mon	EP0600112	08/06/94	Seimens Nixdorf InformationSysteme				
↓	WO9844402	10/08/98	PCT				
	WO9905600	02/04/99	PCT				
	WO9909482	02/25/99	Seimens Nixdorf InformationSysteme				
	WO9957863	11/11/99	PCT				
	WO0062232	10/19/00	PCT				
	EP1146715	10/17/01	European Patent Application				
↓	EP0930567A	07/21/99	Texas Instruments, Inc.				
	WO9834365A	08/6/98	AT&T Corporation				

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OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
mon		J. HEINRICH: "MIPS R4000 Microprocessor User's Manual," April 1, 1993, MIPS, MT. VIEW, XP002184449, pp. 61-97.	
		JOE HEINRICH: "MIPS R4000 MICROPROCESSOR USER'S MANUAL", 1994, MIPS TECHNOLOGY INC., MOUNTAIN VIEW, CA, pages 67-79	
		"M68040 USER'S MANUAL", 1993, MOTOROLA INC., page 1-5 - page 1-9, page 1-13- page 1-20, page 2-1-page 2-3, page 4-1, page 8-9-page 8-11	
		"INTEL 386 DX MICROPROCESSOR 32-BIT CHMOS MICROPROCESSOR WITH INTEGRATED MEMORY MANAGEMENT", 12/31/95, INTEL INC., page 32-56; figure 4-14	
		BERG C: "HOW DO I CREATE A SIGNED APPLLET?", DR. DOBB'S JOURNAL, M&T PUBL., REDWOOD CITY, CA, US, VOL. 22, NO. 8, 8 '97, page 109-111, 122	
		GONG L ET AL: "GOING BEYOND THE SANDBOX: AN OVERVIEW OF THE NEW SECURITY ARCHITECTURE IN THE JAVA DEVELOPMENT KIT 1.2", PROCEEDINGS OF THE USENIX SYMPOSIUM ON INTERNET TECHNOLOGIES AND SYSTEMS, MONTERY, CA 12 '97, pages 103-112	

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				Attorney Docket Number	042390.P8110
Sheet	1	of	2		

U.S. PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
MDN	4,278,837	07/14/81	Best			
	4,521,852	06/04/85	Guttag			
	5,022,077	06/04/91	Bealkowski et al.			
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	6,014,745	01/11/00	Ashe			
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FOREIGN PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Country	Class	Sub- class	Translation Yes No

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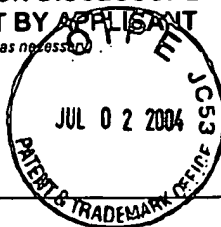
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Application Number 09/823,131
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 First Named Inventor Ellison, Carl
 Group Art Unit 2131
 Examiner Name Sheikh, Ayaz

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Attorney Docket No: 42P08110

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
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	EP-EP1055989	11/29/2000	Proudlar, Graeme J., et al.			
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
men		COULOURIS, GEORGE, et al., "Distributed Systems, Concepts and Designs", 2nd Edition, (1994), 422-424	
		CRAWFORD, JOHN, "Architecture of the Intel 80386", <u>Proceedings of the IEEE International Conference on Computer Design: VLSI in Computers and Processors (ICCD '86)</u> , (October 6, 1986), 155-160	
		FABRY, R.S., "Capability-Based Addressing", <u>Fabry, R.S., "Capability-Based Addressing," Communications of the ACM, Vol. 17, No. 7, (July 1974), 403-412</u>	
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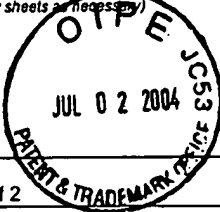
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mon		RSA SECURITY, "Software Authenticators", www.srasecurity.com/node.asp?id=1313 , 1-2	
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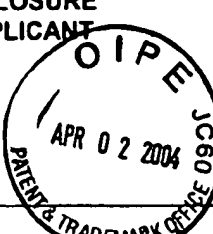
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		Examiner Name	Sheikh, Ayaz
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
WON	EP-EP0961193	12/01/1999	Laczko, Sr., Frank L.			
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	WO-WO0163994	08/30/2001	Van Sant, Glen, et al.			
	WO-WO9524696	09/14/1995	Mooney, David M., et al.			
	WO-WO99/65579	12/23/1999	Bond, Eugene T.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
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 Examiner Name Sheikh, Ayaz R.

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Attorney Docket No: 42P08110

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US PATENT DOCUMENTS

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
WJW	DE-DE4217444	12/03/1992	Toyohisa, Imada, et al.			
↓	EP-EP0473913	03/11/1992	Farrell, Joel A.			abs

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WJW		BRANDS, STEFAN, "Restrictive Blinding of Secret-Key Certificates", SPRINGER-VERLAG XP002201306, (1995), Chapter 3	
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Examiner Name	Ayaz R. Sheikh
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mon		"Information Display Technique for a Terminate Stay Resident Program," IBM Technical Disclosure Bulletin, TDB-ACC-No. NA9112156, 12/1/91, pp. 156-158, Vol. 34, Issue No. 7A	
		ROBIN, JOHN SCOTT and IRVINE, CYNTHIA E., "Analysis of the Pentium's Ability to Support a Secure Virtual Machine Monitor," Proceedings of the 9th USENIX Security Symposium, 8/14/2000, pp. 1-17, XP002247347, Denver, CO.	
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		CHIEN, ANDREW A., et al., "Safe and Protected Execution for the Morph/AMRM Reconfigurable Processor," 7th Annual IEEE Symposium, FCCM '99 Proceedings 4/21/1999, pp. 209-221, XP010359180, ISBN: 0-7695-0375-6, Los Alamitos, CA.	

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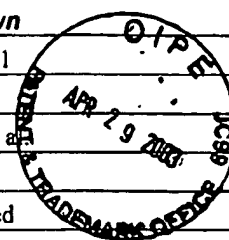
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File Checking Using Remote Signing Authority Via a Network

Application: 
09/823131

Confirmation: 6846

Applicant(s): Carl Ellison

Docket
Number: 042390.P8110

Group Art
Unit: 2181





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init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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	P02	5079737	1992-01-07		Hackbarth		
	P03	5386552	1995-01-31		Garney		
	P04	5628022	1997-05-06		Ueno, et al.		

hwy	P05	5737760	1998-04-07		Grimmer, Jr., et al.
	P06	5757919	1998-05-26		Herbert, et al.
	P07	5852717	1998-12-22		Bhide, et al.
	P08	5872994	1999-02-16		Akiyama, et al.
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Published Applications

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Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

Examiner Name	Date
	11/22/04



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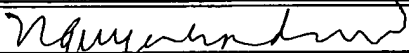
Electronic Version v18
Stylesheet Version v18.0

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents								
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Note: Remarks are not for responding to an office action.

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Signature

Examiner Name	Date
	11/22/04



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	File Checking Using Remote Signing Authority Via a Network
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Application Number: 09/823131
Confirmation Number: 6846
First Named Applicant: Carl Ellison
Attorney Docket Number: 42P08110
Art Unit: 2131
Examiner: Ayaz Sheikh
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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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I respectfully request that the cited documents be considered and that the form be by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

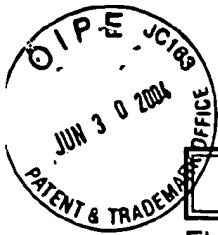
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<i>Nguyen [Signature]</i>	11/22/04

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
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Title of Invention	File Checking Using Remote Signing Authority Via a Network																																																																																								
<p>Application Number: 09/823131</p> <p>Confirmation Number: 6846</p> <p>First Named Applicant: Carl Ellison</p> <p>Attorney Docket Number: 42P08110</p> <p>Art Unit: 2131</p> <p>Examiner: Ayaz Sheikh</p> <p>Search string: (20010027511 or 20020007456 or 20030196085 or 3699532 or 4207609 or 4319233 or 4403283 or 4419724 or 5237616 or 5287363 or 5560013 or 5604805 or 5633929 or 5668971 or 5684948 or 5706469 or 5740178 or 5752046 or 5809546 or 5825880 or 5919257 or 5935242 or 5935247 or 6035374 or 6093213 or 6108644 or 6131166 or 6199152 or 6327652 or 6397379 or 6529909 or 6560627 or 6609199 or 6615278 or 6651171 or 6678825 or 6684326).pn.</p> <p style="text-align: right;">RECEIVED JUL 0 6 2004 Technology Center 2100</p>																																																																																									
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Signature

Examiner Name	Date
<i>Nguyen-Linden</i>	11/22/04



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	File Checking Using Remote Signing Authority Via a Network						
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Confirmation Number: 6846							
First Named Applicant: Carl Ellison							
Attorney Docket Number: 42P08110							
Art Unit: 2131							
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